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(to be used for all correspondence after initial filing)

Application Number	09/925,720
Filing Date	August 8, 2001
First Named Inventor	Vincent GIGUERE
Group Art Unit	1645
Examiner Name	To Be Assigned
Attorney Docket No.	514012000200

Total Number Of Pages In This Submission

11 PLUS 93
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ENCLOSURES (check all that apply)

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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<ul style="list-style-type: none">• Form PTOL-1449 – 7 pages• 93 References• Return receipt postcard
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement – 3 pages	<input type="checkbox"/> Request for Refund	
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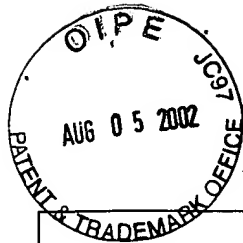
Firm or Individual Name	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304
Signature	<i>Gladys H. Monroy</i>
Date	July 30, 2002

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PATENT
Docket No. 514012000200

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Vincent GIGUERE et al.

Application No.: 09/925,720

Filing Date: August 08, 2001

For: NON-HUMAN TRANSGENIC ANIMAL
WHOSE GERM CELLS AND SOMATIC
CELLS CONTAIN A KNOCKOUT
MUTATION IN DNA ENCODING
ORPHAN NUCLEAR RECEPTOR
ERRalpha

Examiner: To Be Assigned

Group Art Unit: 1645

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SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Supplemental Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of _ is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of _ is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

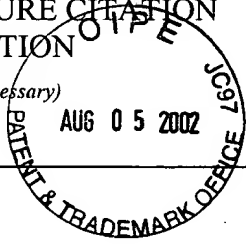
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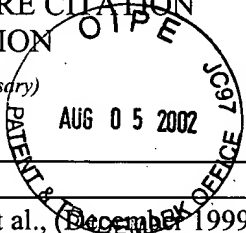
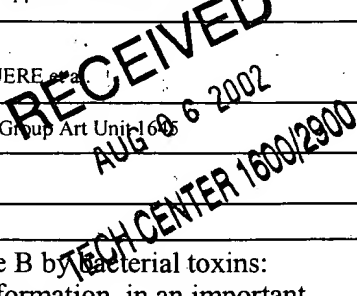
Dated: July 30, 2002

Respectfully submitted,

By: *Gladys H. Monroy*
Gladys H. Monroy
Registration No. 32,430

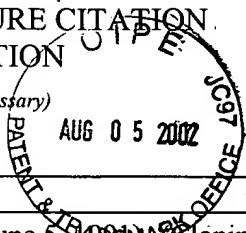
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Form PTO-1449				Docket Number 514012000200		Application Number 09/925,720	
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)				Applicant Vincent GIGUERE et al.			
				Filing Date August 8, 2001		Group Art Unit 1645	
				Mailing Date July 30, 2002			
U.S. PATENT DOCUMENTS							
Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
FOREIGN PATENT DOCUMENTS							
Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
OTHER DOCUMENTS (including author, title, Date, Pertinent Pages, Etc.)							
Examiner Initials	Ref. No.	Title					
	1.	Addison, C. L. et al., (1997). "Comparison of the human versus murine cytomegalovirus immediate early gene promoters for transgene expression by adenoviral vectors" <i>Journal of General Virology</i> 78:1653-1661.					
	2.	Atkinson, E. A. and Bleackley, R. C., (1995). "Mechanisms of lysis by cytotoxic cells" <i>Critical Review in Immunology</i> 15(3&4):359-384.					
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	4.	Bagu, J. R. et al., (February 21, 1997). "A molecular basis for different interactions of marine toxins with protein phosphatase-1" <i>The Journal of Biological Chemistry</i> 272(8):5087-5097.					
	5.	Beresford, P. J. et al., (May 1999). "Granzyme A loading induces rapid cytolysis and a novel form of DNA damage independently of caspase activation" <i>Immunity</i> 10:585-594.					
	6.	Berke, G., (April 7, 1995). "The CTL's kiss of death" <i>Cell</i> 81:9-12.					
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Form PTO-1449		Docket Number 514012000200	Application Number 09/926,720
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)		Applicant Vincent GIGUERE, et al.	
		Filing Date August 8, 2001	Group Art Unit 1605
		Mailing Date July 30, 2002	
<div style="text-align: center;">   </div>			
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18.	Cull, M. G. et al., (March 1992). "Screening for receptor ligands using large libraries of peptides linked to the C terminus of the <i>lac</i> repressor" <i>Proc. Natl. Acad. Sci. USA, Biochemistry</i> 89:1865-1869.		
19.	Dahms, N. M., (1996). "Insulin-like growth factor II/cation-independent mannose 6-phosphate receptor and lysosomal enzyme recognition" <i>Biochemical Society Transactions</i> 24:136-141.		
20.	Darmon, A. J. et al., (October 5, 1995). "Activation of the apoptotic protease CPP32 by cytotoxic T-cell-derived granzyme B" <i>Nature</i> 377:446-448.		
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Form PTO-1449		Docket Number 514012000200	Application Number 09/025,720
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)		Applicant Vincent GIGUERE et al.	
		Filing Date August 8, 2001	Group A Fee Unit 1645
		Mailing Date July 30, 2002	
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INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)		Applicant Vincent GIGUERE et al.	
		Filing Date August 8, 2001	Group Art Unit 1643
		Mailing Date July 30, 2002	
	39.	Heibein, J. A. et al., (1999). "Granzyme B-induced loss of mitochondrial inner membrane potential, ($\Delta\Psi_m$), and cytochrome <i>c</i> release are caspase independent" <i>The Journal of Immunology</i> , 163:4683-4693.	
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	50.	Lakshmi, S. and Balasubramanian, A.S., (1980). "Soluble arylsulfatases of human brain and some characteristics of the brain-specific arylsulfatase B _m " <i>Biochimica et Biophysica Acta</i> 614:446-458.	
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	52.	Lam, K. S., (1997). "Application of combinatorial library methods in cancer research and drug discovery" <i>Anti-Cancer Drug Design</i> 12:145-167.	
	53.	Lobe, C. G. et al., (May 16, 1986). "Novel serine proteases encoded by two cytotoxic T lymphocyte-specific genes" <i>Science</i> , 232(4752):858-861.	
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		Filing Date August 8, 2001	Group Art Unit 645
		Mailing Date July 30, 2002	
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55.	Ma, Z. et al., (June 5, 1991). "Cloning, sequencing, and functional characterization of the murine 46-kDa mannose 6-phosphate receptor" <i>The Journal of Biological Chemistry</i> 266(16):10589-10595.		
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		Filing Date August 8, 2001	Group Art Unit 1649
		Mailing Date July 30, 2002	
69.	Oka, Y. and Czech, M. P., (July 15, 1986). "The type II insulin-like growth factor receptor is internalized and recycles in the absence of ligand" <i>The Journal of Biological Chemistry</i> 261(20):9090-9093.		
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71.	Page, L. J. et al., (1998). "L is for lytic granules: lysosomes that kill" <i>Biochimica and Biophysica Acta</i> 1401:146-156.		
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		Filing Date August 8, 2001	Group Art Unit 3645
		Mailing Date July 30, 2002	
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